

FORM NO. MGT.9
EXTRACT OF ANNUAL RETURN
as on the financial year ended on 31.03.2019

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

- i) CIN: U67120WB1995PTC071182
- ii) Registration Date: 24.04.1995
- iii) Name of the Company: BNK Securities Private Limited
- iv) Category / Sub-Category of the Company: Company Limited by shares
- v) Address of the Registered office and contact details: Mayfair Towers, 2 Palm Avenue, Kolkata – 700 019
Telephone No. (033) 22810560-62
E-mail ID-corporate@bnkcapital.com
- vi) Whether listed company Yes / No: No
- vii) Name, Address and Contact details of Registrar and Transfer Agent, if any: N.A.

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products/ services	NIC Code of the Product/ service	% to total turnover of the company
1	Securities Brokerage Services	99715210	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -

S. NO	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/SUBSIDIARY/ASSOCIATE	% of shares held	Applicable Section
1.	BNK Commodities Pvt Ltd Address: Mayfair Tower, 2 Palm Avenue, Kolkata - 700 019	U74999WB2003PTC097491	Associate	43.43	2(6)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding (the face value of the equity shares have been consolidated from Rs. 10/- each to Rs. 10,000/- each & fresh allotment has been given effect on 1st August, 2016)

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian	-		-		-		-		-
a) Individual/ HUF	-	3467	3467	49.53	-	3467	3467	49.53	-
b) Central Govt or State Govt (s)	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	3129	3129	44.70	-	3129	3129	44.70	-
d) Banks/FI	-	-	-	-	-	-	-	-	-
e) Any Other	-	-	-	-	-	-	-	-	-
Sub-total (A) (1):-	-	6,596	6,596	94.23	-	6,596	6,596	94.23	-

ADRs									
GrandTotal (A+B+C)	-	7000	7000	100	-	7000	7000	100	-

(ii) Shareholding of Promoters (the face value of the equity shares have been consolidated from Rs. 10/- each to Rs. 10,000/- each & fresh allotment has been given effect on 1st August, 2016)

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year			Share holding at the end of the year			% change in share holding during the year
		No. Of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. Of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	
1	Ajit Khandelwal	392	5.60	-	392	5.60	-	-
2	Ajit Khandelwal Jointly with Sanjeev Kumar Khandelwal	1342	19.17	-	1342	19.17	-	-
3	Sanjeev Kumar Khandelwal	392	5.60	-	392	5.60	-	-
4	Sanjeev Kumar Khandelwal Jointly with Ajit Khandelwal	1341	19.16	-	1341	19.16	-	-
5	BNK Capital Markets Ltd	2544	36.34	-	2544	36.34	-	-
6	Patrex Vyapaar Ltd	585	8.36	-	585	8.36	-	-
7	Others	-	-	-	-	-	-	-

	Total	6,596	94.23		6,596	94.23	-	
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(iii) Change in Promoter's Shareholding (the face value of the equity shares have been consolidated from Rs. 10/- each to Rs. 10,000/- each & fresh allotment has been given effect on 1st August, 2016)

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Ajit Khandelwal				
	At the beginning of the year	392	5.60	392	5.60
	Change during the year	-	-	-	-
	At the End of the year	392	5.60	392	5.60
2	Ajit Khandelwal Jointly with Sanjeev Kumar Khandelwal				
	At the beginning of the year	1342	19.17	1342	19.17
	Change during the year	-	-	-	-
	At the End of the year	1342	19.17	1342	1342
3	Sanjeev Kumar Khandelwal				
	At the beginning of the year	392	5.60	392	5.60
	Change during the year	-	-	-	-
	At the End of the year	392	5.60	392	5.60

4	Sanjeev Kumar Khandelwal Jointly with Ajit Khandelwal					
	At the beginning of the year		1341	19.16	1341	19.16
	Change during the year		-	-	-	-
	At the End of the year		1341	19.16	1341	19.16

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Not Applicable

(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	For Each of the Directors and KMP				
	Shareholding of Key Managerial Personnel:				
1.	Mr. Ajit Khandelwal				
	At the beginning of the year	392	5.60	392	5.60
	Change during the year	-	-	-	-
	At the End of the year	392	5.60	392	5.60
2.	Ajit Khandelwal Jointly with Sanjeev Kumar Khandelwal				
	At the beginning of the year	1342	19.17	1342	19.17

	Change during the year	-	-	-	-
	At the End of the year	1342	19.17	1342	19.17
4.	Sanjeev Kumar Khandelwal				
	At the beginning of the year	392	5.60	392	5.60
	Change during the year	-	-	-	-
	At the End of the year	392	5.60	392	5.60
5.	Sanjeev Kumar Khandelwal Jointly with Ajit Khandelwal				
	At the beginning of the year	1341	19.16	1341	19.16
	Change during the year	-	-	-	-
	At the End of the year	1341	19.16	1341	19.16

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	3,030,209	-	-	3030209
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	3,030,209	-	-	3,030,209
Change in Indebtedness during the financial year				
• Addition	8,000,000	1,76,500,000	-	1,84,500,000
• Reduction	9,046,833	1,76,550,630	-	1,85,597,463
Net Change	1,046,833	(50,630)	-	(1097463)
Indebtedness at the end of the financial year				
i) Principal Amount	2,246,350	-	-	2,246,350
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	(2,62,974)	(50630)	-	(3,13,604)
Total (i+ii+iii)	1,983,376	(50630)	-	1,932,746

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. No.	Particulars of Remuneration	Name of Director		Total Amount
		Sanjeev Kumar Khandelwal	Ankit Khandelwal	
1.	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	2,815,000 - -	8,55,000 - -	3,670,000 - -
2.	Stock Option	-	-	-
3.	Sweat Equity	-	-	-
4.	Commission - as % of profit - others, specify.. (2% of Institutional Brokerage)	- -	- 2,07,529	- 2,38,185
5.	Others, please specify	-	-	-
	Total (A)	2,815,000	1,062,529	3,877,529
	Ceiling as per the Act			

B. Remuneration to other directors:

SL. No.	PARTICULARS OF REMUNERATION	NAME OF DIRECTORS	TOTAL AMOUNT
1	Independent Directors	Ajit Khandelwal	
	<ul style="list-style-type: none"> • Fee for attending board committee meetings • Commission • Others, please specify 	- - -	- - -
	Total (1)	-	-
2	Other Non-Executive Directors		
	<ul style="list-style-type: none"> • Fee for attending board committee meetings • Commission • Others, please specify 	- - -	- - -
	Total (2)	-	-
	Total (B) = (1 + 2)	-	-
	Total Managerial Remuneration	-	-
	Overall Ceiling as per the Act	-	-

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD (**Not Applicable**)

VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES: None

For BNK Securities Private Limited

Ajit Khandelwal
Director
DIN NO: 00416445

